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## The Calreticulin gene and myeloproliferative neoplasms

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# Gene of the Month: The *Calreticulin* Gene and Myeloproliferative Neoplasms

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## **Abstract**

The Philadelphia negative myeloproliferative neoplasms include Polycythaemia Vera (PV), Essential Thrombocytopenia(ET) and Primary Myelofibrosis(PMF). Patients with these conditions were mainly thought to harbour *JAK2V617F* mutations or an *MPL* substitution. In 2013 two revolutionary studies identified recurrent mutations in a gene which encodes the protein calreticulin. (CALR). This mutation was detected in patients with PMF and ET with non mutated *JAK2* or *MPL* but was absent in patients with PV. The *CALR* gene encodes the calreticulin protein, which is a multifactorial protein, mainly located in the endoplasmic reticulum in chromosome 19 and regulates calcium homeostasis, chaperones and has also been implicated in multiple cellular processes including cell signalling, regulation of gene expression, cell adhesion, autoimmunity and apoptosis. Somatic 52-bp deletions and recurrent 52-bp insertion mutations in *CALR* were detected and all resulted in frameshift and clusters in exon 9 of the gene. This review will summarise the current knowledge on the *CALR* gene and mutation of the gene in pathological conditions and patient phenotypes.

## **Localisation of the CALR Gene**

Calreticulin is a ubiquitous protein which is present in all eukaryotic cells except erythrocytes as these lack endoplasmic reticulum. <sup>(1)</sup> This protein is encoded in humans by the *Calreticulin (CALR)* gene. Through detailed analysis of somatic cell hybrids, it was found that *CALR* gene is located on chromosome 19 <sup>(2)</sup>. To date two *CALR* genes have been identified – *CALR 1* and *CALR 2*. The exact function of *CALR 2* gene has yet to be determined. The *CALR 1* gene encodes the 46KDa protein <sup>(4)</sup>. In humans, the *CALR* gene spans 4.6kb of the genomic DNA and is composed of nine exons. <sup>(5,6)</sup> and eight introns <sup>(3)</sup>. The CALR protein has been found to have Ro/SSA ribonucleoprotein complex properties. Itoh *et al* found that within the *CALR* gene, separate genes encode the 60-kd form and the 52 –kd forms of Ro/SSA antoantibodies subsequently mapping to chromosome 1 (TROVE2;600063) and chromosome 11 (TRIM21;109092) respectively. <sup>(5,7)</sup>

## **Structure**

### **A. The CALR gene**

This gene is made up of nine exons and eight introns <sup>(3)</sup>. It spans 3.6kb of human genomic DNA<sup>(5)</sup> (Figure 1). It exists as a single copy on chromosome 19. <sup>(15)</sup> The promoter sites of the *CALR* gene contain several putative regulatory sites which include AP-1 and AP-2 sites, GC rich areas which include an Sp1 site, a H4TF-1 site and also four CCAAT sequences <sup>(5)</sup>. Both H4TF-1 and AP-2 recognition sequences have been found in genes which are active in cellular proliferation.<sup>(9)</sup>

### **B. Calreticulin Protein**

The calreticulin (CALR) protein is a multifactorial protein which is localised to the intracellular, cell surface and extracellular compartments. It regulates a wide variety of biological processes including antigen processing and presentation to the adaptive immune system, cellular proliferation, cell adhesion, uptake of CALR expressing cancer cells by dendritic cells and phagocytosis of apoptotic cells. It contains three main structural domains: <sup>(10)</sup>

1. The first third of the protein is called the N Terminal. <sup>(8)</sup> N terminal is responsible for interactions with other proteins and the C terminal <sup>(10)</sup>. This domain contains eight anti-parallel  $\beta$  strands and interacts with the DNA binding site of steroid receptors and  $\alpha$  integrins. Additionally the disulphide bonds formed by cysteine residues within this domain have been found to interact with the P domain to form the vital chaperone function of CALR. <sup>(11)</sup>
2. The second third of the protein is the P Domain. This proline rich P domain contains two sets of three repetitive amino acid sequence regions. These regions form lectin like structures which are responsible for the protein folding function of CALR <sup>(11)</sup>. This domain contains a region that binds calcium with high affinity <sup>(12)</sup>. It also contains several KPEDWU repeats, several 'PEST' regions and a putative nuclear localisation signal. <sup>(8)</sup>
3. Finally, the C terminus is rich in acidic amino acids and contains multiple calcium binding sites. It is a highly acidic region <sup>(11)</sup> and 37 of the final 57 residues are aspartic or glutamic acid <sup>(8)</sup>. This domain regulates the calcium levels within the endoplasmic reticulum (ER). It binds to calcium with high capacity and low affinity. <sup>(11)</sup> This terminus contains a four amino acid (lysine, aspartate, glutamate and leucine) KDEL ER retention

motif which prevents CALR from being secreted from the ER <sup>(12)</sup>. These three domains are illustrated in Figure 2.

The *CALR* promoter region contains multiple binding sites for transcription factors. Many of these factors have been identified as essential modulators for *CALR* expression including NKx2.5 which encodes a homeobox-containing transcription factor and defects of which can result in Tetralogy of Fallot, atrial septal defects with atrioventricular conduction defects and congenital hypothyroidism<sup>(13)</sup>. MEF2C is a transcription activator and is present in the regulatory regions of multiple muscle specific genes. It binds specifically to the MEF2 element within these genes. It is involved in vascular development and cardiac morphogenesis and myogenesis <sup>(14)</sup>, GATA6 (a member of the zinc transcription factor family which plays a vital role in organogenesis and cellular differentiation during vertebral development <sup>(15)</sup>), and Evi-1 an oncoprotein and transcription factor which is involved in cell differentiation, apoptosis, proliferation, cellular development and haematopoiesis <sup>(11,16)</sup>.

## **Biological Functions of CALR protein**

### **(A) Intracellularly**

CALR acts as a major calcium binding protein within the lumen of the endoplasmic reticulum, it binds >50% of calcium present in the ER <sup>(12)</sup>. In doing so it ensures newly synthesised proteins are correctly folded, become proteasome resistant and therefore enables the proteins to carry out their required intracellular function. <sup>(12)</sup>. CALR protein has been found to regulate p53 expression, localisation and function <sup>(12)</sup> and also possibly plays a role in transcription regulation within the nucleus of the cell. <sup>(17)</sup>.

CALR protein has been found to act as a molecular chaperone within the ER where it binds to the newly synthesised glycoproteins thereby preventing their aggregation and facilitating correct protein folding and subsequent function. CALR works in harmony with calnexin and ERp57 to create a protein forming cycle which controls protein folding. <sup>(3)</sup>

Waser *et al* determined that the *CALR* gene, which regulates the CALR protein, is activated by A23187-, bradykinin dependent Ca<sup>2+</sup> depletion of intracellular calcium or thapsigargin in both *in vivo* and *in vitro* experiments <sup>(17)</sup>. It has also been determined that *CALR* mRNA and protein levels increase according to endoplasmic reticulum store depletion.

### **(B) Extracellularly**

Outside the endoplasmic reticulum, CALR protein has been detected on the cell surface and within the cytosol. <sup>(18)</sup> Here it modulates the expression of N-cadherin and vinculin, two transmembrane proteins which are vital in cell adhesion. It also modulates the transcriptional activity of steroid receptors and other transcription factors and mediates the nuclear export of the glucocorticoid receptor. <sup>(3)</sup>

It has also been found that early disruption of the CALR protein can be embryonically lethal, showing decreased ventricular wall thickness and intertrabecular recesses within the ventricular walls. <sup>(19)</sup> This occurs due to the disruption of CALR which leads to abnormal ER calcium availability thereby impairing myofibrillogenesis. <sup>(20)</sup>

From this we can conclude that the CALR protein is a multifunctional protein which acts intracellularly where it plays a vital role in calcium homeostasis and also facilitates protein folding, quality and control. Extracellularly CALR plays a vital role in cell adhesion, modulation of gene expression, nuclear export, cardiogenesis and immunogenic cell death. <sup>(3)</sup>

### **Mutation of the CALR gene**

In 2005, *JAK2-V617F* was discovered. Prior to this minimal information was available on the molecular pathogenesis of myeloproliferative neoplasms (MPN). In 2013, two studies carried out by Nangalia *et al* and Klampf *et al* <sup>(21,22)</sup> discovered somatic recurrent insertions/deletions which exclusively affected exon 9 in the CALR gene in 70-84% of wild type *JAK2* and *MPL* Primary Myelofibrosis and essential thrombocytopenia. <sup>(21,22,23)</sup>

Klampf *et al* <sup>(22)</sup> discovered the *CALR* gene mutation whilst carrying out exome sequencing on tumour samples on MPN patients DNA and matched CD3 + T lymphocyte in six patients lacking *JAK2* and *MPL* mutations. <sup>(22)</sup> A recurring mutation was noted on the *CALR* gene. Further analysis by polymerase chain reaction found that 25-35% of patient who did not possess *JAK2* or *MPL* mutations had mutations in the *CALR* gene. <sup>(22,24)</sup> Subsequent studies have also found that *CALR* mutations were detected in 60-80% of patients with essential thrombocythaemia (ET) and primary myelofibrosis

(PMF) who lacked *JAK2* and *MPL* mutations. <sup>(23)</sup> *CALR* mutations were not detected in patients with polycythaemia vera. (PV) <sup>(22,25)</sup>

Nangalia *et al* performed exome sequencing on 151 patients with MPN and identified *CALR* mutations in 70-84% of samples of MPN without *JAK2* mutations. <sup>(21)</sup> They then further expanded their investigation to patients with other haematological malignancies and non-haematological malignancies and found that this mutation occurs at low rates in myeloid malignancies and not at all in non-haematological malignancies. <sup>(21)</sup>

Greater than 50 different *CALR* mutations have been detected to date, but the most common mutation types detected are type 1 variant/mutation (p.L367fs\*47) which results from 52-bp deletion, and type 2 variant/mutation (p.K385fs\*47) which results from a 5-bp TTGTC insertion within exon 9 of the gene. <sup>(5,25,26)</sup> Of patients, 45-53% harbour type 1 mutations and 32-41% of patients harbour type 2 mutations. <sup>(20)</sup> Both types of mutations cause a single base pair frameshift which results in the formation of a novel mutant C-Terminal peptide composed of a minimal 36 amino acid stretch which replaces the 27 amino acids which are lost from the normal sequence. <sup>(20)</sup> The last four amino acids of calreticulin (KDEL), which contain the ER retention signal become positively charged, the reticulum targeting KDEL sequence is abolished thereby disturbing its cellular localisation. <sup>(23)</sup>

A non mutated *CALR* C terminus is largely negatively charged, whereas the mutated terminus contains positively charged amino acids. Type 1 mutations eliminate all negatively charged amino acids whereby type 2 mutations maintain up to half of the positively charged amino acids. <sup>(26)</sup> By eliminating the negative charge on this C terminus the  $\text{Ca}^{2+}$  binding function is impaired and the KDEL motif is lost therefore mutant *CALR* may have an altered subcellular localisation <sup>(22,24,26)</sup>.

In MPN, several studies have found *CALR* mutations in patients which are located in haematopoietic stem and progenitor cells and are believed to activate the *STAT5* signalling pathway. <sup>(18)</sup> By causing cytokine hypersensitivity, suggesting that mutated *CALR* has the ability to activate the haematopoietic cytokine signalling pathway <sup>(12)</sup>.

## **Role of *CALR* in Pathological Conditions**

The Philadelphia negative myeloproliferative disorders are a group of disorders which include PV, ET and PMF. PMF is characterised by abnormal proliferation of megakaryocytes, abnormal stem cell trafficking, deposition of fibrous connective tissues in the bone marrow and extramedullary haematopoiesis <sup>(27)</sup>. ET is characterised by platelet overproduction resulting from hyperproliferation of megakaryocytes. PV is characterised by hyperproliferation of predominantly erythroid cells. <sup>(12)</sup>.

Specific genetic mutations have been associated with myeloproliferative neoplasms. *JAK2* mutations are almost invariable in PV. 50-60% of patients with PMF or ET harbour the *JAK2* mutation and up to 10% harbour the *Myeloproliferative leukaemia (MPL)* virus oncogene. <sup>(22,28)</sup> Recently, alternative mutations have been detected in patients with sporadic ET or PMF without *JAK2* or *MPL* alterations. <sup>(29)</sup>.

How the mutated *CALR*, which is characterised by lower calcium binding activity and is independent of the endoplasmic reticulum motif KDEL <sup>(30)</sup>, causes the overproduction of abnormal megakaryocytes and platelets is the major question. It has been hypothesised that the pathogenetic effects have been found to be somewhat attributable to the JAK/STAT signalling. This is supported by findings that showed a link between increased STAT5 phosphorylation and *CALRdel52* mutation in interleukin 3 dependent murine Ba.F3 cells. This resulted in cells to become cytokine independent. <sup>(20)</sup> Recent studies in cell lines and mice of the mutant protein show the mutant activates the downstream pathways with cMPL<sup>(31,32)</sup> and show a mechanism where a mutated chaperone activates cytokine receptor signalling<sup>(33)</sup>. A novel mechanism is conceived where the mutant chaperone *CALR* constitutively activates receptor signalling through the abnormal interaction with MPL <sup>(34)</sup>.

### **A. *CALR* in primary myelofibrosis**

In patients with PMF, *CALR* mutations are associated with better survival compared to those with *JAK2* or *MPL* mutations. <sup>(35)</sup> However, Tefferi *et al* discovered that the prognostic benefit of *CALR* mutations is limited to patient with type 1 (52-bp) or type 1 variant of the *CALR* mutation rather than those with type 2 or type 2 variant mutations <sup>(36)</sup>. The *CALRdel52* mutation was



found to be more frequent in PMF than ET. <sup>(19)</sup> The mutational frequencies of *CALR* in PMF have been found to be between 25-35% and one study found that 27% of patients harboured a *CALR* mutation, with 80% harbouring type 1 and 11% harbouring type 2. <sup>(22,35,36)</sup> In PMF poor patient survival has been associated with the presence of *ASXL1* mutations and also in patients with TYPE 2 *CALR* mutations <sup>(38)</sup>, with the absence of such mutations associated with a favourable survival rate.

### **Patient phenotype:**

PMF patients with the *CALR* mutations are preferentially male, younger in age (less than 60 years of age), <sup>(24)</sup> have an higher haemoglobin, leucocyte count, platelet count and an improved overall survival rate <sup>(37)</sup> In comparison to patients with *JAK2* and *MPL* mutations. <sup>(9)</sup> A lower incidence of spliceosome mutations have also been noted in these patients. <sup>(29)</sup> Patients with *CALR* mutations were noted to be less anaemic, thereby requiring fewer transfusions <sup>(24)</sup>. No evolutionary differences were noted between patients with the *CALR* mutations and *JAK2* mutated genes <sup>(30)</sup>. See table 1 for further details on patient phenotypes.

## **B. *CALR* in essential thrombocytopenia**

The frequency of *CALR* mutations in ET ranges between 15-24% <sup>(30)</sup>. Two variants of the *CALR* mutation exist in ET, type 1, a 52-bp deletion (p.L367fs\*46) and type 2a 5-bp TTGTC insertion (p.K385fs\*47). Recently the frequencies of type 1 and type 2 mutations in ET have been found to be 46% and 38% respectively <sup>(36)</sup>. Type 2 has been associated with a high circulating blast percentage, increased platelet count <sup>(28)</sup> leucocyte count, dynamic international prognostic scoring system and poorer survival rate. <sup>(29)</sup>.

### **Patient phenotype**

Patient with *CALR* mutated ET were found to be male, younger in age (less than 60 years), higher platelet count ( $>1000 \times 10^9/l$ ), lower leucocyte count, lower haemoglobin and a lower incidence of cardiovascular complications or thrombosis risk than their *JAK2* mutated counterparts <sup>(9,29,37)</sup>. No patient with the *CALR* mutation to date has been found to evolve from PET to PV or acute leukaemia <sup>(38)</sup>, whereas 29% of patients with *JAK2* mutation at

15 years were found to evolve. <sup>(30)</sup> Patients harbouring *CALR* mutations have been found to have a higher incidence of myelofibrotic transformation as opposed to their *JAK2* mutated counterparts <sup>(37)</sup>. In such patients pegylated interferon has been noted to have a positive clinical effect, the allele burden was noted to decrease from 43% - 19% with this treatment and therefore could be used as a biomarker to monitor therapeutic response. See table 1 for further details on patient phenotypes.

*Table 1: A comparison of patient phenotypes with both CALR and JAK2 mutations in ET and PMF<sup>(17,27)</sup>*

	<b>ET - <i>CALR</i> mutation</b>	<b>ET- <i>JAK2</i> mutation</b>	<b>PMF – <i>CALR</i> mutation</b>	<b>PMF – <i>JAK2</i> mutation</b>
<b>Age</b>	Younger <50	Older >50	Younger <50	Older >60
<b>Gender</b>	male	female	male	male
<b>Platelets (x10<sup>9</sup>/l)</b>	Higher >800	Lower <800	Lower (<400)	Higher (>400)
<b>Haemoglobin (g/l)</b>	Lower <140	Higher >140	Lower (<120)	Higher (>120)
<b>Leucocytes (x10<sup>9</sup>/l)</b>	Lower (<8.5)	Higher(>8.5)	Higher (>9)	Lower (<9)
<b>Thrombosis Risk</b>	lower	higher	lower	higher
<b>Survival</b>	longer	Worse prognosis	longer	Worse prognosis

### **C . *CALR* mutation in non-haematological conditions**

Calreticulin has been detected in the serum of patients suffering from Systemic Lupus Erythematosus, Sjogren's disease, coeliac disease, rheumatic disease and also various parasitic diseases. *CALR* has been found to associate with ribonucleoprotein complex Ro/SSA, an autoantigen which is found in most patients with Sjogren's and SLE. It has also been found to interact with C1q, the

first component of complement, thereby activating the classical complement pathway.<sup>(39)</sup>

### **Diagnostic Criteria**

The revolutionary discovery of the *CALR* mutation in MPN patients harbours prognostic relevance. The following tables illustrate the WHO 2008 diagnostic criteria for PV, ET and PMF.<sup>(40)</sup>

*Table 2: The 2008 WHO diagnostic criteria for Essential Thrombocytopenia<sup>(40)</sup>*

Thrombocytosis $>450 \times 10^9$
Enlarged, mature megakaryocytes on bone marrow biopsy with no significant increase or left-shift of granulopoiesis or erythropoiesis
Patient does not meet the criteria for: PMF, PV, MDS, <i>BCR-ABL1</i> -positive chronic myelogenous leukemia or another myeloid neoplasm
Presence of <i>JAK2</i> V617F or other clonal marker or in its absence no evidence for a reactive thrombocytosis

All four must be present for a patient to be diagnosed with ET.

*Table 3: The 2008 WHO diagnostic criteria for Polycythaemia Vera<sup>(40)</sup>*

Major Criteria	Minor Criteria
Hemoglobin $>185$ g/L in men, $>165$ g/L in women or other evidence of increased red cell volume	Bone marrow biopsy showing hypercellularity for age with trilineage growth (panmyelosis) with prominent erythroid, granulocytic and megakaryocytic proliferation
Presence of <i>JAK2</i> V617F or other functionally similar mutation (such as <i>JAK2</i> exon 12 mutation)	Serum erythropoietin level below the reference range for normal
	Endogenous erythroid colony formation <i>in vitro</i>

For a patient to be diagnosed with PV they must possess both major criteria or the first major criteria and two minor criteria.

*Table 4: The 2008 WHO diagnostic criteria for Primary Myelofibrosis<sup>(40)</sup>*

Major criteria	Minor Criteria
Megakaryocyte proliferation and atypia, usually with either reticulin and/or collagen fibrosis	Leukoerythroblastosis
Presence of <i>JAK2</i> V617F or other clonal marker (eg <i>MPL</i> W515K/L), OR in absence of a clonal marker, no evidence that the marrow fibrosis or other changes are reactive	Increase in serum LDH
Does NOT meet WHO criteria for any of the following: PV, <i>BCR-ABL1</i> +chronic myelogenous leukemia, MDS, or other myeloid neoplasm	Anaemia
	Splenomegaly

Diagnosis of PML requires all three major criteria plus two minor criteria.

*CALR* mutation does not feature in these criteria due to its recent discovery. Its discovery not only provides new insights into the molecular basis of MPN but also new molecular approaches to their diagnosis and therefore inclusion into the above diagnostic criteria will be part of revised criteria of these diseases <sup>(41)</sup>.

## **Conclusion**

William Dameshek first described myeloproliferative disorders in 1951 as a 'related group of disease with a shared myelostimulatory factor accounting for overlapping clinical and laboratory features <sup>(42)</sup>. The *JAK2* V617F gene mutation was subsequently discovered 55 years later and noted to be present in 90-95% of PV, 40-60% of ET and PMF cases. This discovery has proved revolutionary in the diagnosis, understanding and clinical management of these patients. The recent discovery of the *CALR* gene mutation has further revolutionised our understanding of the myeloproliferative disorders. By identifying the role of *CALR* mutation in ET and PMF we have enabled the patients presenting with these conditions to be placed into one of two subgroups, A. *CALR* mutant ET/PMF which has an indolent clinical course and B. PMF/ET with non mutated *JAK2*, *CALR* and *MPL* which is a very aggressive

myeloid neoplasm. <sup>(27)</sup> New studies have since determined that PCR amplification, followed by fragment length analysis can be used in patients with MPN for *CALR* mutations to determine their mutant allele burden <sup>(43)</sup> which could allow early diagnosis of a more aggressive phenotype and subsequent early or type specific management and therefore increase patient survival.

The discovery of *CALR* mutations has enabled us to firstly distinguish that ET patients who present with the *CALR* mutation are younger in age, male gender increased platelet counts and lower haemoglobin and leucocyte counts. These patients also have a significantly lower thrombosis risk and therefore may not require the introduction of antiplatelet therapy or hydroxyurea. Research carried out in 2015 by Alvaraz-Larren *et al* on *CALR* positive ET patients who are at low risk of thrombosis determined that such patients did not benefit from low dose aspirin as the risk of bleeding offset the reduction in the rate of thrombosis <sup>(44)</sup>. Hydroxyurea was not tested in this study. Additionally, these patients have been found to show a reduction in allele burden with the use of pegylated interferon thereby allowing this to be used as a biomarker for therapeutic response in these patients. <sup>(25)</sup> PMF patients presenting with *CALR* mutations are now known to have a better prognosis and lower risk of evolution to acute leukaemia than patients with *JAK2* mutations. By discovering this mutated *CALR* gene we now can account for the genetic mutation in up to 90% of patients who present with MPN, decipher accurate management for these patients, thereby increasing patient safety and improving disease prognosis.

**Conflict of Interest:** No conflicts of interests to declare.

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## Legends to Figures

### Figure 1

This diagram illustrates the human gene with 9 exons. The *CALR* gene is located on exon 9.  
(Adapted from Michalak *et al*)<sup>(5)</sup>

### Figure 2:

This diagram illustrates the three functional domains of the *CALR* gene and their various functions. The N domain is where interaction with the DNA binding site of steroid receptors and  $\alpha$  integrins takes place. The P domain is rich in proline and forms lectin like structures which are responsible for *CALR*'s protein binding function and also binds  $\text{Ca}^{2+}$  with high affinity. The C domain is rich in acidic amino acids and regulates calcium levels within the endoplasmic reticulum. This domain terminates with the KDEL ER sequence.